

Attorney Docket No.: 5693P037X

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Brad A. Reger et al.

Serial No.: 10/826,757

Filing Date: 04/15/2004

For: RECONFIGURATION OF STORAGE  
SYSTEM INCLUDING MULTIPLE  
MASS STORAGE DEVICES

Examiner: Walter, Craig E.

Group Art Unit: 2188

Confirmation no.: 1208

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

Examiner's Initials	Co-Pending Patent Applications
1)	U.S. patent application no. 10/407,535, entitled, "Stand Alone Network Storage System Enclosure Including Head and Multiple Disk Drives Connected to a Passive Backplane," filed on April 4, 2003, of Steven Valin et al., publication no. 2004/0199719 A1.
2)	U.S. patent application no. 11/296,966, entitled, "Method and Apparatus for Converting a Standalone Network Storage System Into a Disk Drive Storage Enclosure," filed on December 7, 2005, of Brad A. Reger et al.

**Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.**

Applicants note that Office Actions have been received in a related, copending application. In particular, Office Actions for U.S. Patent Application No. 10/407,535 were mailed on 01/24/06, 07/19/06, 10/31/06 and 05/03/07. Copies of these Office Actions are available through the Patent Application Information Retrieval (PAIR) system. If the Examiner so requests, Applicants will provide the Examiner with a copy of these Office Actions.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

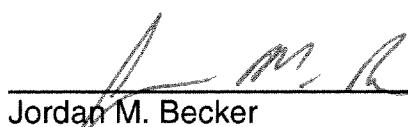
X      37 C.F.R. §1.97(b).

- \_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- \_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or
- \_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- \_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated July 17, 2007

  
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<p><b>Substitute for Form 1449/PTO</b></p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
				Application Number	10/826,757
				Filing Date	04/15/2004
				First Named Inventor:	Brad A. Reger
				Art Unit	2188
				Examiner Name	Walter, Craig E.
<b>Sheet</b>	1	of	2	Attorney Docket Number	5693P037X
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		us- 5,850,562	12-15-1998	Crump et al.	
		us- 6,404,624	06-11-2002	Jeong, Kyu-Yeob	
		us- 6,687,797	02-03-2004	Walton, John K.	
		us- 6,728,897	04-27-2004	Cramer et al.	
		us- 6,732,243	05-04-2004	Busser et al.	
		us- 6,789,149	09-07-2004	Bhadsavle et al.	
		us- 6,868,417	03-15-2005	Kazar et al.	
		us- 6,873,627	03-29-2005	Miller et al.	
		us- 6,920,579	07-19-2005	Cramer et al.	
		us- 6,920,580	07-19-2005	Cramer et al.	
		us- 6,934,158	08-23-2005	Teachout et al.	
		us- 6,948,012	09-20-2005	Valin et al.	
		us- 7,127,798	10-31-2006	Reger et al.	
		us- 2003/0065841	04-03-2003	Pecone, Victor Key	
		us- 2004/0133718	07-08-2004	Kodama et al.	
		us- 2004/0199719	10-07-2004	Valin et al.	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
		JP 2000276534 A	10-06-2000	Taguchi et al.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Application Number	10/826,757
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Art Unit	2188
Examiner Name	Walter, Craig E.

**Sheet**      2      **of**      2      **Attorney Docket Number**      5693P037X

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	1.	Kenniston, Steve, "Unified Storage Technology", Enterprise Storage Group, Technology Brief, Network Appliance, pgs. 1-2, Milford Massachusetts, December 2002.	
	2.	"Network Appliance Unified Storage Solutions", TR 3195, Network Appliance, Inc., Sunnyvale, California, pgs 1-5, October 1, 2002.	
	3.	"An architecture for componentized, network-based media services"; Harville, M.; Covell, M.; Wee, S.; Multimedia and Expo, 2003. ICME '03. Proceedings. Volume 2, 6-9 July 2003; Pages: II – 333-6.	
	4.	Microsoft Computer Dictionary, Fifth Edition, from "background" to "Backspace key", page 48.	
	5.		
	6.		
	7.		
	8.		
	9.		
	10.		
	11.		

Examiner Signature		Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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